

I hereby certify that this correspondence is being mailed to: Commissioner For Patents, P.O. Box 1450, Arlington, VA

Case

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of

CLAIRE E. BAMBER, ET AL.

Serial No. 10/780,312

: Group Art Unit: 2681

Confirmation No. 9732

Filed: February 17, 2004

Examiner:

For: PERSONAL COMMUNICATION

SYSTEMS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made below in a Form PTO-1449 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO-1449 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

1. [X] 37 C.F.R. §1.97 (b)(1) - U.S. Direct (within 3-months of filing a regular application or converted provisional)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(1), is being filed within three months of the filing date of a national application. Therefore, no fee is believed to be due.

2. [] 37 C.F.R. §1.97 (b)(2) - Via PCT (within 3 mo. of Nat'l Stage Entry)

This information disclosure statement, submitted under 37 C.F.R. §1.97 (b)(2), is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application. Therefore, no fee is believed to be due.

| 3. [] | 37 C.F.R. §1.97 (b)(3) - (>3 mo. after filing direct or nat'l stage entry, but before 1st |
|-------|---|
| O.A.) | |

This information disclosure statement is being submitted under 37 C.F.R. §1.97 (b)(3). Applicants have not received an Office Action on the merits in the present application. Therefore, no fee is believed to be due.

THE FOLLOWING IS ADDITIONAL INFORMATION PERTAINING TO (2) OR (3) MARKED WITH AN (X) ABOVE.

- (a) The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that both a copy of the International Search Report and copies of the references cited therein are present in the national stage file. In accordance with MPEP §1893.03(g), it is respectfully requested that the Examiner note the consideration of these references in the first Office Action via the PTO-892 form.
- (b) [] The Notification of Acceptance of this Application Under 35 U.S.C. §371 indicates that a copy of the International Search Report is present in the national stage file. Copies of the references cited in that report are enclosed. It is respectfully requested that the Examiner note the consideration of these references in the first Office Action via the PTO-892 form.
- (c) [] The Notification of Acceptance of this Application Under 35 U.S.C. §371 does not indicate that a copy of the International Search Report and copies of the references cited are present in the national stage file. Copies of the International Search Report and references are attached.
- 4. [] 37 C.F.R. §1.97(c) with fee payment (use after 1st Office Action & before Final Office Action or Notice of Allowance)

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicant(s) have not received a final action under 37 C.F.R. §1.113 or a notice of allowance under 37 C.F.R. §1.311 as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R. §1.17(p).

ADDITIONAL ITEMS TO BE NOTED BY THE EXAMINER:

(1) Copies of the cited references were previously cited by or submitted to the USPTO in prior application Case No. ____, U.S. Patent Application Serial No. ___, filed ___. Applicants claim priority to

said application under 35 U.S.C. §120. Accordingly, copies of those documents are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d).

OR

(2) Copies of the cited documents are enclosed.

OR

[X] (3) Copies of all said documents, except document No.'s 2003/0157958 A1 and Non-Patent reference #'s 5 and 6, were submitted and considered in parent application U.S. Patent Application Serial No. 10/368,905, filed February 18, 2003. Applicant(s) claim priority to said application under 35 U.S.C. §120. Accordingly, copies of document No.'s US 6,212,414 B1 and Non-Patent reference #'s 1-4 are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d). Copies of document No.'s 2003/0157958 A1 and Non-Patent reference #'s 5 and 6 are enclosed. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case.

[] (4) Pursuant to 37 C.F.R. §1.98(c), a concise explanation of the relevance of each cited reference that is not in the English language is on the attached page, except where a full English language translation is provided.

[X] (5) Applicants also respectfully request the Examiner to consider and make of record the copending applications listed on the attached page, the copies of which are attached.

[X] Additional information is attached.

Respectfully submitted,

Jeffrey V. Bamber 5023 Village Drive

Cincinnati, OH 45244 Attorney for Applicant

Registration No. 31,148

(513) 271-7742

May 15, 2003

PRIOR PUBLICATIONS AND PRACTICES OF OTHERS

The comic strip, DICK TRACY (Chicago Tribune Media Services; originator Chester Gould; Dick Locher and Michael Kilian thereafter), illustrated various inventions relating to communication systems worn on the comic strip character's wrist. According to the website www.comicspage.com/dicktracy/inventions, these included: a two-way wrist radio in 1946; a two-way wrist TV in 1964; and a two-way wrist computer in 1986.

It was known for more than one year before the filing date of the provisional patent application to which the present application claims priority, for people to hold their hand in a configuration with their thumb extending upward by their ear and their pinkie finger extending outward by their mouth as a signal for calling someone on the telephone.

CO-PENDING U.S. APPLICATIONS

Entire copies of all co-pending applications (or the portion of the application and claims that caused it to be cited) <u>must</u> be sent with the IDS (see 37 CFR 1.98(a)(2)(iii)

| Serial Number | Inventor(s) | Filing Date | Publication No. |
|---------------|-------------|-------------|---------------------|
| 10/368,905 | Bamber | 2/18/2003 | US 2003/0157958 A1* |

^{*} Copy provided in the form of published application.

[Only applications that remain pending at the time of submitting this IDS should be listed here. For applications in which patents have issued, the granted patents should be listed on Form PTO/SB08.]

Approved for use through 10/31/2002 OMB 0651-0031 Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

espond to a collection of information unless it contains a valid OMB control number Under the Paperwork Reduction Act of 1995,

| Substitute for form 1449 APTO RADEMARK | COMPLETE IF KNOWN | | |
|--|------------------------|-------------------|--|
| & /RADEN | Application Number | 10/780,312 | |
| INFORMATION DISCLOSURE | Confirmation Number | 9732 | |
| STATEMENT BY APPLICANT | Filing Date | February 17, 2004 | |
| (use as many sheets as necessary) | First Named Inventor | Claire E. Bamber | |
| | Group Art Unit | 2681 | |
| | Examiner Name | | |
| SHEET 1 of 1 | Attorney Docket Number | | |

U. S. PATENT DOCUMENTS

| EXAMINER INITIALS* | Cite No. | U.S. PATENT DOCUMENT Number Kind Code ² (if known) | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | |
|-----------------------|-------------|---|----|--|--|--|--|
| | | 6,212,414 B1 | | Alameh, et al. | 04-03-2001 | | |
| | | 2003/0157958 | A1 | Bamber | 08-21-2003 | | |
| | | | | | I | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS* | Cite No. | FOI Office ³ Code ⁵ | REIGN PATENT DO Number ⁴ | Kin | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | т6 |
|-----------------------|-------------|---|--|-----|---|--|--|----|
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| EXAMINER INITIALS* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | т ⁶ |
|-----------------------|-------------|--|----------------|
| | 1 | AUTHOR UNKOWN, "Designers Get a Handle on Wrist Communicator", www.fox19.com, | |
| | | October 28, 1999, CMP Media, Inc., published on internet. | |
| | 2 | AUTHOR UNKNOWN, "Wireless Earset with Bluetooth Technology Lets You Make Crystal Clear | |
| | | Calls in Your Car – Safely and Inconspicuously", Herrington Catalog, Spring 2003, Herrington | |
| _ | | Catalog Company, Londonderry, NH, U.S.A. | |
| | 3 | AUTHOR UNKOWN, "Voice Gear", Verizon Wireless mailer, prior to March 31, 2003, Verizon | |
| | | Wireless, Bedminster, NJ, U.S.A. ¹ | |
| | 4 | AUTHOR UNKNOWN, "The Only 2-Way Radio Wristwatch", Hammacher Schlemmer Catalog, | |
| | | Father's Day 2003 (approx. May, 2003), Hammacher Schlemmer & Co., Inc., Fairfield, OH, U.S.A. | |
| | 5 | AUTHOR UNKNOWN, Products, www.smartplanet.net, August 26, 2003, Smart Planet, Agoura | |
| | | Hills, CA, USA. | |
| | 6 | AUTHOR UNKNOWN, "Small is Beautiful (and now mobile). Presenting the Siemens® Slider", | |
| | | Best Buy Wireless Shopping Guide, December, 2003, Cincinnati Enquirer newspaper, Cincinnati, | |
| | | OH, USA. | |

¹This type of device may have been available for more than a year prior to the filing date of the provisional patent application to which the present application claims priority.

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
| | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.